

Inventor(s): J. LEI  
 Appln. No.: 09 753,093  
 Series Code ↑ Serial No. ↑

Group Art Unit 3677  
 Examiner: C. LUGO  
 Atty. Dkt. P 250394  
 M# Client Ref

Appln. Title: SELF-CONTAINED BUSINESS  
 TRANSACTION CAPSULES

Filed: JANUARY 2, 2001  
 Mail Stop Non-Fee Amendment  
 Hon. Commissioner for Patents  
 PO Box 1450  
 Alexandria, VA 22313-1450



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NOV 25 2003

Sir:

## REPLY/AMENDMENT/LETTER

Date: November 10, 2003

GROUP 3600

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

## FEE REQUIREMENTS FOR CLAIMS AS AMENDED

## 1. Small Entity claim

- A. ☐ NOT made  
 B. ☐ Withdrawn  
 C. ☐ made herewith  
 D. ☒ made previously
- For B & C  
 See Required  
Separate Paper  
 (Pat-256)

Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	25	**minus 70	0	x \$18/\$9 = + \$0	103/203
3. Independent Claims	2	***minus 5	0	x \$84/\$42 = + \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application) add				+ \$280/\$140 = + \$0	104/204
5. Original due Date: September 18, 2003	<input type="checkbox"/> NONE				
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2 mos) (3 mos) (4 mos) (5 mos)	\$110/\$55 = \$410/\$205 = \$930/\$465 = \$1,450/\$725 = \$1,970/\$985 =	+ \$0		115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract		- \$0			
8. Extension Fee				+ \$0	
9. If Terminal Disclaimer attached, add Rule 20(d) official fee		+ \$110/\$55		+ \$0	148/248
10. If IDS attached requires Official Fee under Rule 97 (c), add		+ \$180		+ \$0	126
or if Rule 97(d) Request add		+ \$180			126
11. After-Final Request Fee per rules 129(a) and 17(r)		+ \$750/370		+ \$0	146/246
12. No. of additional inventions for examination per Rule 129(b)		x \$750/375 ea		+ \$0	149/249
13. Request for Continued Examination (RCE)		+ \$750/375		+ \$0	1179/1279
14. Petition fee for				+ \$0	

15. TOTAL FEE = \$0

16. \*If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. \*\*If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. \*\*\*If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Our Deposit Account No. 16-1805

Our Order No. 23803 250394

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP  
 Intellectual Property Group

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By Atty: James M. Wakely

Sig:

James M. Wakely

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Atty/Sec: JMW/mg



PATENT  
23803-250394

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. LEI

Serial No: 09/753,093

Filed: January 2, 2001

For: SELF-CONTAINED BUSINESS  
TRANSACTION CAPSULES

) Art Unit: 3677

) Examiner: C. LUGO

I hereby certify that this correspondence is  
being deposited with the United States Postal  
Service with sufficient postage as first class  
mail in an envelope addressed to:  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450, on  
November 10, 2003  
Date of Deposit

James M. Wakely

Name

James M. Wakely 11/10/2003

Signature

Date

**RESUBMISSION OF ELECTION AND AMENDMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On September 18, 2003, applicant submitted an Amendment in response to a June 18, 2003 Office Action in connection with the above-referenced application. On October 10, 2003, applicant received a "Notice of Non-Compliant Amendment (Voluntary Revised Practice)" which stated that the amendment did not comply with 37 CFR 1.121 because (a) not all claims were listed, and (b) the claims were not presented in ascending numerical order. The Notice further stated that applicants were required to resubmit the amendment in the format specified by 37 CFR 1.121 within one month of the date of mailing of the Notice (i.e., November 10, 2003).

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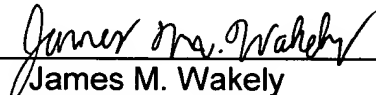
Applicant believes that the Examiner objected to the September 18, 2003 Amendment because a listed of cancelled claims 13-70 was inadvertently omitted. Applicant has listed claims 13-70 and identified them as being cancelled in the attached "Resubmission of Election and Amendment". Accordingly, applicant respectfully submits that the replacement Preliminary Amendment complies with 37 CFR 1.121.

Applicant requests entry of this replacement Preliminary Amendment and an action on the merits.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Date: November 10, 2003

By:   
James M. Wakely  
Registration No. 48,597  
Attorney For Applicant

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